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NOTICE OF ALLOWANCE AND FEE(S) DUE

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10/07/2008

WARE FRESSOLA VAN DER SLUYS & ADOLPHSON, LLP BRADFORD GREEN, BUILDING 5 755 MAIN STREET, P O BOX 224 MONROE. CT 06468

EXAMINER			
HAN, QI			
ART UNIT	PAPER NUMBER		
2626			

DATE MAILED: 10/07/2008

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/621,007	07/15/2003	Scott Davis	944-015.002	9112

TITLE OF INVENTION: SYSTEM TO ALLOW THE SELECTION OF ALTERNATIVE LETTERS IN HANDWRITING RECOGNITION SYSTEMS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/07/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 4955 7590 10/07/2008 Certificate of Mailing or Transmission WARE FRESSOLA VAN DER SLUYS & ADOLPHSON, LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. BRADFORD GREEN, BUILDING 5 755 MAIN STREET, P O BOX 224 MONROE, CT 06468 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/621,007 07/15/2003 Scott Davis 944-015.002 9112 TITLE OF INVENTION: SYSTEM TO ALLOW THE SELECTION OF ALTERNATIVE LETTERS IN HANDWRITING RECOGNITION SYSTEMS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 01/07/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS HAN, QI 2626 704-270000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY I	DOCKET NO. CONFIRMATION NO.	
10/621,007 07/15/2003 Scott Davis 944-01	9112	
4955 7590 10/07/2008	EXAMINER	
WARE FRESSOLA VAN DER SLUYS & ADOLPHSON, LLP	HAN, QI	
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MONROF CT 06468	626 .ED: 10/07/2008	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 867 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 867 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	10/621,007	DAVIS, SCOTT	
Notice of Allowability	Examiner	Art Unit	
	QI HAN	2626	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comm GHTS . This application is and MPEP 1308.	in this application. If not included nunication will be mailed in due cours	
2. ☑ The allowed claim(s) is/are <u>1,3-13 and 15-21</u> .			
 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority doc 	been received. been received in Applicat	on No	om the
International Bureau (PCT Rule 17.2(a)). * Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submare in INFORMAL PATENT APPLICATION (PTO-152) which give some submare including changes required by the Notice of Draftspers and including changes required by the Notice of Draftspers and including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1) each sheet. Replacement sheet(s) should be labeled as such in the submare in the	itted. Note the attached Exes reason(s) why the oath of the submitted. on's Patent Drawing Reviews Amendment / Comment of the header according to 37 Cesit of BIOLOGICAL MATERIAL ENGINEERS.	(AMINER'S AMENDMENT or NOTIC or declaration is deficient. ew (PTO-948) attached or in the Office action of the drawings in the front (not the back FR 1.121(d). TERIAL must be submitted. Note the	E OF
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview S Paper No 7. ☑ Examiner	nformal Patent Application Summary (PTO-413), ./Mail Date s Amendment/Comment s Statement of Reasons for Allowanc	e

DETAILED ACTION

Response to Amendment

1. This communication is responsive to the applicant's amendment filed on 06/23/2008 and a telephone interview regarding the Examiner's Amendment. The applicant(s) amended claims 1, 17, 19 and 21, and cancelled claims 2 and 14 (see the amendment, pages 2-4, and the Examiner's amendment below).

The examiner withdrew the claim rejection under 35 USC 103, because the applicant amended/cancelled the corresponding claims and made considerable arguments (see the amendment, pages 6-8, which overcame the previous prior art rejection and provided reasonable basis for allowance (see reason for allowance below).

Examiner's Amendment

- 2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.
- 3. Authorization for this examiner's amendment was given in a telephone interview with the applicant's representative, Keith R. Obert (58, 051), on 09/25/2008. The Examiner's Amendment is as following:

In the claims (based on the amendment filed on 06/23/2008):

For claim 2, cancel this claim.

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Art Unit: 2626

For claim 14, cancel this claim.

For **claim 19**, line 2 of the claim, after "subset being modified as a function of temporally receiving the", replace "entry" with --user input--.

-----End of Examiner's Amendment-----

Allowable Subject Matter

4. Claims 1, 3-13 and 15-21 are allowed.

The following is an examiner's statement of reasons for allowance:

Regarding independent **claims 1, 17 and 21**, the instant application is directed to a recognition system and method for recognized analog input. Each of the independent claims, combining some well known features in the art, identifies the uniquely distinct features of:

displaying the first subset of virtual keys concurrently with receiving the first portion of the analog user input (referred to a portion of handwriting input in Fig. 7 and paragraph 47),

receiving a second portion of the analog user input,

analyzing the second portion of the analog user input,

determining a second subset of virtual keys by eliminating at least one virtual key from the first subset of virtual keys to produce a second subset of virtual keys, and

displaying the second subset of virtual keys concurrently with receiving the second portion of the analog user input.

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Art Unit: 2626

5. The prior art of record, CHUA (US 2004/0183833 A1), AUSEMS et al. (US

2003/0013483 A1), LEWIS et al. (US 6,826,306) and LYON (US 6,480,621), provided

numerous teachings and techniques of recognizing analogy user input, including voice (speech)

recognition and hand writing recognition, providing virtual keyboard and/or touch screen with

virtual buttons (keys), generating a set of words using database/dictionary, displaying list of

candidate words based on frequency of use or score for user selecting/confirming, determining

selected position of word within certain displayed area; recognizing handwriting written on

display, recognizing and carrying out voice command; providing user profile for the recognition,

using adaptive statistical classifiers for speech recognition and handwriting recognition

applications and providing real time recognition of handwriting. However, the combined

features stated above, are not anticipated by, nor made obvious over the prior art of the record.

6. Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

Conclusion

7. Please address mail to be delivered by the United States Postal Service (USPS) as

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Mail Stop ____ Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Art Unit: 2626

or faxed to: 571-273-8300, (for formal communications intended for entry) **Or:** 571-273-8300, (for informal or draft communications, and please label "PROPOSED" or "DRAFT")

If no Mail Stop is indicated below, the line beginning Mail Stop should be omitted from the address.

Effective January 14, 2005, except correspondence for Maintenance Fee payments, Deposit Account Replenishments (see 1.25(c)(4)), and Licensing and Review (see 37 CFR 5.1(c) and 5.2(c)), please address correspondence to be delivered by other delivery services (Federal Express (Fed Ex), UPS, DHL, Laser, Action, Purolater, etc.) as follows:

U.S. Patent and Trademark Office Customer Window, Mail Stop _____ Randolph Building Alexandria, VA 22314

Any inquiry concerning this communication or earlier communications from the examiner should be directed to QI HAN whose telephone number is (571)272-7604. The examiner can normally be reached on M-TH:9:00-17:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richemond Dorvil can be reached on (571)-272-7602. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

QH/qh September 25, 2008 /Qi Han/ Examiner, Art Unit 2626